SHEET 1 OF 3

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INFO	INFORMATION DISCLOSURE CITATION IN AN APPLICATION				ATTY. DOCKET NO. 43876-148		SERIAL NO Continuat No. 10/436	ion of A	pplication
					APPLICANT Craig HANSEN, et al.				
(PTO-1449)			FILING DATE November 14, 200	FILING DATE GROUP November 14, 2003 To be assigned					
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<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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ATTY. DOCKET NO. 043876-0148

SERIAL NO. 10/712,430

**APPLICANT** 

HANSEN, C., et al.

FILING DATE November 14, 2003 **GROUP** 2183

(PTO-1449)

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1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Substitute for form 1449A/PTO Application Number 10/712.430 INFORMATION DISCLOSURE Filing Date November 14, 2003 STATEMENT BY APPLICANT First Named Inventor Craig C. HANSEN, et al. Group Art Unit (use as many sheets as necessary) Examiner Name CHAN, EDDIE P Sheet of Attorney Docket Number 43876-148

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Substitute for form 1449B/PTO		Com	plete if Known	
		Application Number	10/712,430	
IN	FORMATION	DISCLOSURE	Filing Date	November 14, 2003
ST	STATEMENT BY APPLICANT		First Named Inventor	Craig C. HANSEN, et al.
			Group Art Unit	2183
	(use as many sheet	s as necessary)	Examiner Name	CHAN, EDDIE P
Sheet	2	of 10	Attorney Docket Number	43876-148

		OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS						
Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate) title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, page(s), volume-issued number(s), publisher, city and/or country where published.  IEEE Draft Standard for "Scalable Coherent Interface-Low-Voltage Differential Signal Specifications and Packet Encoding", IEEE Standards Department, P1596.3/D0.15 (Mar. 1992) (50006DOC018530 - 563)						
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INFORMATION DISCLOSURE				Application Number	10/712 430	
	INFORMATION DISCLOSURE			Filing Date	November 14, 2003	
STA'	STATEMENT BY APPLICANT		LICANT	First Named Inventor	Craig C. HANSEN, et al.	
			•	Group Art Unit	2183	
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Sheet	3	of	10	Attorney Docket Number	43876-148	

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Examiner Initials*	Cite No.	Document Number Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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	BQ	US-5,734,874	03/31/1998	Van Hook, et al.	
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Examiner Cite Initials* No.		Foreign Patent Document				Τ°		
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				Complete if Known		
Substitute for form 1449B/PTO		Application Number	10/712,430			
IN	FORMATIO	ON DISC	LOSURE	Filing Date	November 14, 2003	
	ATEMENT			First Named Inventor	Craig C. HANSEN, et al.	
				Group Art Unit	2183	
(use as many sheets as necessary)			cessary)	Examiner Name	CHAN, EDDIE P	
Sheet	4	of	10	Attorney Docket Number	43876-148	

		OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS	_
Examiner	Cite	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate) title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, page(s), volume-issued number(s),	
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Substitute	for form	1449B/PTO	Application Number	10/712,430	
II	NFOR	MATION DISCLOSURE	Filing Date	November 14, 2003	
		MENT BY APPLICANT	First Named Inventor	Craig C. HANSEN, et al.	
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	(use	as many sheets as necessary)	Examiner Name	CHAN, EDDIE P	
Sheet	5	of   10	Attorney Docket Number	43876-148	
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Examiner	Cite	item (book, magazine, journal, serial, syn	nposium, catalog, etc), date, page(s), v		T <sup>2</sup>
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Substitute for form 1449A/PTO Complete if Known Application Number 10/712.430 INFORMATION DISCLOSURE Filing Date November 14, 2003 STATEMENT BY APPLICANT First Named Inventor Craig C. HANSEN, et al. 2183 Group Art Unit (use as many sheets as necessary) CHAN, EDDIE P **Examiner Name** of 10 Attorney Docket Number 43876-148 6 Sheet OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS Include name of the author. (in CAPITAL.LETTERS), title of the article (when appropriate) title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, page(s), volume-issued number(s), Examine T² publisher, city and/or country where published. Initials<sup>4</sup> No. Tyler et al., "AltiVec™: Bringing Vector Technology to the PowerPC™ Processor Family," IEEE (February 1999) DT (51056DOC071035 - 042) AltiVec™ Technology Programming Environments Manual (1998) (51056DOC071043 - 392) DU Atkins, "Performance and the i860 Microprocessor," IEEE Micro, pp. 24-27, 72-78 (October 1991) DV (5156DOC070655 - 666) Grimes et al., "A New Processor with 3-D Graphics Capabilities," NCGA '89 Conference Proceedings Vol. 1, pp. 275-84 (April 17-20, 1989) (5156DOC070711 - 717) Grimes et al., "The Intel i860 64-Bit Processor: A General-Purpose CPU with 3D Graphics Capabilities," IEEE DX Computer Graphics & Applications, pp. 85-94 (July 1989) (5156DOC070701 - 710) Kohn et al., "A 1,000,000 Transistor Microprocessor," 1989 IEEE International Solid-State Circuits Conference DY Digest of Technical Papers, pp. 54-55, 290 (February 15, 1989) (51056DOC072091 - 094) Kohn et al., "A New Microprocessor with Vector Processing Capabilities," Electro/89 Conference Record, pp. 1-6 DZ (April 11-13, 1989) (5156DOC070672 - 678) Kohn et al., "Introducing the Intel i860 64-Bit Microprocessor," IEEE Micro, pp. 15-30 (August 1989) EA (5156DOC070627 - 642) Kohn et al., "The i860 64-Bit Supercomputing Microprocessor," AMC, pp. 450-56 (1989) (51056DOC000330 -EB Margulis, "i860 Microprocessor Architecture," Intel Corporation (1990) (51056DOC066610 - 7265 and EC 5156DOC069971 - 70626) Mittal et al., "MMX Technology Architecture Overview," Intel Technology Journal Q3 '97, pp. 1-12 (1997) ED (5156DOC070689 - 700) Patel et al., "Architectural Features of the i860 - Microprocessor RISC Core and On-Chip Caches," IEEE, pp. 385-EE 90 (1989) (5156DOC070679 - 684) Rhodehamel, "The Bus Interface and Paging Units of the i860 Microprocessor," IEEE, pp. 380-84 (1989) EF (5156DOC070643 - 647) Perry, "Intel's Secret is Out," IEEE Spectrum, pp. 22-28 (April 1989) (5156DOC070648 - 654) EG Sit et al., "An 80 MFLOPS Floating-Point Engine in the Intel 1860 Processor," IEEE, pp. 374-79 (1989) EH (51056DOC072095 - 101) i860 XP Microprocessor Data Book, Intel Corporation (May 1991) (51056DOC067266 - 427) EI Paragon User's Guide, Intel Corporation (October 1993) (51056DOC068802 - 9097) EJ N15 Micro Architecture Specification, dated April 29, 1991 (50781DOC000001 - 982) EK N15 External Architecture Specification, dated October 17, 1990 (51056DOC017511 - 551) EL N15 External Architecture Specification, dated December 14, 1990 (50781DOC001442 - 509) **EM** N15 Product Requirements Document, dated December 21, 1990 (50781DOC001420 - 441) EN EO N15 Product Implementation Plan, dated December 21, 1990 (50781 DOC001794 - 851)

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\*EXAMINER: Initial reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. I Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P. O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORM TO THIS ADDRESS. Send To Commissioner For Patents, P. O. Box 1450, Alexandria, VA 22313-1450. If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2

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Substitute for form 1449B/PTO		Application Number	10/712,430			
IN	FORMATI	ON DISC	LOSURE	Filing Date	November 14, 2003	
ST	CATEMEN	T BY API	PLICANT	First Named Inventor	Craig C. HANSEN, et al.	
				Group Art Unit	2183	
(use as many sheets as necessary)			cessary)	Examiner Name	CHAN, EDDIE P	
Sheet.	7	of	10	Attorney Docket Number	43876-148	
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		OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS  Include name of the author (in CAPITAL-LETTERS), title of the article (when appropriate) title of the	Т
Examiner Initials*	Cite No. <sup>1</sup>	item (book, magazine, journal, serial, symposium, catalog, etc), date, page(s), volume-issued number(s), publisher, city and/or country where published.	Т
હ્ય	ES	Armould et al., "The Design of Nectar: A Network Backplane for Heterogeneous Multicomputers," ACM (1989) (51056DOC020947 - 958)	
	ET	Bell, "Ultracomputers: A Teraflop Before Its Time," Communications of the ACM, (August 1992) pp. 27-47 (51056DOC020903 – 923)	
	EU	Broomell et al., "Classification Categories and Historical Development of Circuit Switching Topologies," Computing Surveys, Vol. 15, No. 2, pp 95-133 (June 1983) (51056DOC003002 – 040)	
	EV	Culler et al., "Analysis of Multithreaded Microprocessors Under Multiprogramming," Report No. UCB/CSD 92/687 (May 1992) (51056DOC069283 – 300)	
	EW	Donovan et al., "Pixel Processing in a Memory Controller," IEEE Computer Graphics and Applications, pp. 51-61 (January 1995) (51056DOC059635 – 645)	
	EX	Fields, "Hunting for Wasted Computing Power: New Software for Computing Networks Puts Idle PC's to Work," Univ. of Wisconsin-Madison, http://www.cs.wisc.edu/condor/doc/WiscIdea.html (1993) (51056DOC068704 – 711)	
	EY	Geist, "Cluster Computing: The Wave of the Future?," Oak Ridge National Laboratory, 84OR21400 (May 30, 1994) (51056DOC020924 – 929)	
	EZ	Ghafoor, "Systolic Architecture for Finite Field Exponentiation," IEEE Proceedings, Vol. 136 (November 1989) (51056DOC071700 - 705)	
	FA	Giloi, "Parallel Programming Models and their Interdependence with Parallel Architectures," IEEE Proceedings (September 1993) (51056DOC071792 - 801)	
	FB	Hwang et al., "Parallel Processing for Supercomputers and Artificial Intelligence," (1993) (51056DOC059663 – 673)	Ī
	FC	Hwang, "Advanced Computer Architecture: Parallelism, Scalability, Programmability," (1993) (51056DOC059656 - 662)	-
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	FE	Iwaki, "Architecture of a High Speed Reed-Solomon Decoder," IEEE Consumer Electronics (January 1994) (51056DOC071687 - 694)	
	FF	Jain et al., "Square-Root, Reciprocal, SINE/COSINE, ARCTANGENT Cell for Signal and Image Processing," IEEE ICASSP '94, pp. II-521 – II-524 (April 1994) (51056DOC003070 – 073)	
	FG	Laudon et al., "Architectural and Implementation Tradeoffs in the Design of Multiple-Context Processors," Technical Report: CSL-TR-92-523 (May 1992) (51056DOC069301 – 327)	
	FH	Lawrie, "Access and Alignment of Data in an Array Processor," IEEE Transactions on Computers, Vol. C-24, No. 12, pp. 99-109 (December 1975) (51056DOC002932 – 942)	
	FI	Le-Ngoc, "A Gate-Array-Based Programmable Reed-Solomon Codec: Structure-Implementation-Applications," IEEE Military Communications (1990) (51056DOC071695 - 699)	
	FJ	Litzkow et al., "Condor - A Hunter of Idle Workstations," IEEE (1988) (51056DOC068712 - 719)	Т
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Complete if Known Substitute for form 1449B/PTO Application Number 10/712,430 INFORMATION DISCLOSURE Filing Date November 14, 2003 Craig C. HANSEN, et al. STATEMENT BY APPLICANT First Named Inventor Group Art Unit 2183 (use as many sheets as necessary) CHAN, EDDIE P **Examiner Name** 43876-148 Sheet Attorney Docket Number

		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate) title of the	П
Examiner Initials*	Cite No.	item (book, magazine, journal, serial, symposium, catalog, etc), date, page(s), volume-issued number(s), publisher, city and/or country where published.	Т
£(.	FN	Rohrbacher et al., "Image Processing with the Staran Parallel Computer," IEEE Computer, Vol. 10, No. 8, pp. 54-59 (August 1977) (reprinted version pp. 119-124) (51056DOC002943 – 948)	
	FO	Ryne, "Advanced Computers and Simulation," IEEE, pp. 3229-33 (1993) (51056DOC020883 - 887)	
	FP	Siegel, "Interconnection Networks for SIMD Machines," IEEE Computer, Vol. 12, No. 6 (June 1979) (reprinted version pp. 110 118) (51056DOC002949 – 957)	
	FQ	Singh et al., "A Programmable HIPPI Interface for a Graphics Supercomputer," ACM (1993) (51056DOC020888 - 896)	
	FR	Smith, "Cache Memories," Computing Surveys, Vol. 14, No. 3 (September 1982) (51056DOC071586 - 643)	
	FS	Tenbrink et al., "HIPPI: The First Standard for High-Performance Networking," Los Alamos Science (1994) (51056DOC020943 – 946)	
	FT	Tolmie, "Gigabit LAN Issues: HIPPI, Fibre Channel, or ATM," Los Alamos National Laboratory Report No. LA-UR 94-3994 (1994) (51056DOC046599 – 609)	
	FU	Tolmie, "HIPPI: It's Not Just for Supercomputers Anymore," Data Communications (May 8, 1995) (51056DOC071802 - 809)	Ľ
	FV	Toyokura et al., "A Video DSP with a Macroblock-Level-Pipeline and a SIMD Type Vector-Pipelined Architecture for MPEG2 CODEC," ISSCC94, Section 4, Video and Communications Signal Processors, Paper WP 4.5, pp. 74-75 (1994) (51056DOC003659 – 660)	
	FW	Tullsen et al., "Simultaneous Multithreading: Maximizing On-Chip Parallelism," Proceedings of the 22nd Annual International Symposium on Computer Architecture (June 1995) (51056DOC071434 – 443)	
	FX	Turcotte, "A Survey of Software Environments for Exploiting Networked Computing Resources," Engineering Research Center for Computational Field Simulation (June 11, 1993) (51056DOC069098 – 256)	
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	FZ	Wang, "Bit-Level Systolic Array for Fast Exponentiation in GF(2m)," IEEE Transactions on Computers, Vol. 43, No. 7, pp. 838-41 (July 1994) (51056DOC059407 – 410)	
	GA	Ware et al., "64 Bit Monolithic Floating Point Processors," IEEE Journal of Solid-State Circuits, Vol. Sc-17, No. 5 (October 1982) (51056DOC059646 – 655)	
	GB	"Bit Manipulator," IBM Technical Disclosure Bulletin, pp. 1575-76 (November 1974) (51056DOC010205 - 206)	
	GC	Finney et al., "Using a Common Barrel Shifter for Operand Normalization, Operand Alignment and Operand Unpack and Pack in Floating Point," IBM Technical Disclosure Bulletin, pp. 699-701 (July 1986) (51056DOC010207 - 209)	
	GD	Data General AViiON AV500, 550, 4500 and 5500 Servers	Ĺ
	GE	Jovanovic et al., "Computational Science: Advances Through Collaboration," San Diego Supercomputer Center Science Report (1993) (51056DOC068769 - 779)	
	GF	High Performance Computing and Communications: Toward a National Information Infrastructure, National Science Foundation (NSF) (1994) (51056DOC068791 - 801)	
	GG	National Coordination Office for High Performance Computing and Communications, "High Performance Computing and Communications: Foundation for America's Information Future" (1996) (51056DOC072102 – 243)	
CC	GH	Wilson, "The History of the Development of Parallel Computing," http://ei.cs.vt.edu/~history/Parallel.html (51056DOC068720 - 757)	

Examiner Signature	En al	Dated Considered 3/	3/0	36

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	<u></u>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate) title of the	Γ				
xaminer nitials*	Cite No. <sup>1</sup>	item (book, magazine, journal, serial, symposium, catalog, etc), date, page(s), volume-issued number(s), publisher, city and/or country where published.  IEEE Standard 754 (ANSI/IEEE Std. 754-1985) (51056DOC019304 - 323)					
<u> </u>	GI						
		Original Complaint for Patent Infringement, MicroUnity Systems Engineering, Inc. v. Dell, Inc. f/Wa/ Dell Computer and Intel Corporation, C.A. NO. 2-04CV-120; In the United States District Court of the Eastern District of Texas, Marshall Division filed March 26, 2004					
	GJ	Amended Complaint for Patent Infringement, MicroUnity Systems Engineering, Inc. v. Dell, Inc. f/k/a/ Dell Computer and Intel Corporation; C.A. NO. 2-04CV-120; In the United States District Court of the Eastern District of Texas, Marshall Division filed April 20, 2004					
	GK	Expert Witness Report of Richard A. Killworth, Esq., MicroUnity Systems Engineering, Inc. v. Dell, Inc. f/k/a/ Dell Computer and Intel Corporation, C.A. NO. 2-04CV-120; In the United States District Court of the Eastern District of Texas, Marshall Division filed September 12, 2005					
	GL	Declaration and Expert Witness Report of Ray Mercer Regarding Written Description and Enablement Issues, MicroUnity Systems Engineering, Inc. v. Dell, Inc. f/k/a/ Dell Computer and Intel Corporation, C.A. NO. 2- 04CV-120; In the United States District Court of the Eastern District of Texas, Marshall Division filed September 12, 2005					
	GM	Corrected Expert Report of Dr. Stephen B. Wicker Regarding Invalidity of U.S. Patent Nos. 5,742,840; 5,794,060; 5,764,061; 5,809,321; 6,584,482; 6,643,765; 6,725,356 and Exhibits A-I; MicroUnity Systems Engineering, Inc. v. Dell, Inc. f/k/a/ Dell Computer and Intel Corporation; C.A. NO. 2-04CV-120; In the United States District Court of the Eastern District of Texas, Marshall Division filed October 6, 2005					
	GN	Defendants Intel and Dell's Invalidity Contentions with Exhibits A-G; MicroUnity Systems Engineering, Inc. v. Dell, Inc. f/k/a/ Dell Computer and Intel Corporation; C.A. NO. 2-04CV-120; In the United States District Court of the Eastern District of Texas, Marshall Division filed September 19, 2005					
	GO	Defendants Dell Inc. and Intel Corporation's Identification of Prior Art Pursuant to 35 USC §282; MicroUnity Systems Engineering, Inc. v. Dell, Inc. f/k/a/ Dell Computer and Intel Corporation; C.A. NO. 2-04CV-120; In the United States District Court of the Eastern District of Texas, Marshall Division filed October 7, 2005					
	GP	Request for Inter Partes Reexamination Under 35 USC §§ 311-318 of U.S. Patent No. 6,725,356 filed on June 28, 2005	Γ				
	GQ	Deposition of Larry Mennemeier on September 22, 2005 and Exhibit 501; MicroUnity Systems Engineering, Inc. v. Dell, Inc. f/Wa/ Dell Computer and Intel Corporation; C.A. NO. 2-04CV-120; In the United States District Court of the Eastern District of Texas, Marshall Division					
	GR	Deposition of Leslie Kohn on September 22, 2005; MicroUnity Systems Engineering, Inc. v. Dell, Inc. f/k/a/ Dell Computer and Intel Corporation; C.A. NO. 2-04CV-120; In the United States District Court of the Eastern District of Texas, Marshall Division					
	GS	Intel Article, "Intel Announces Record Revenue of 9.96 Billion", October 18, 2005					
	GT	The New York Times Article, "Intel Posts 5% Profit Increase on Demand for Notebook Chips", October 19, 2005	Ī				
	GU	USA Today Article, "Intel's Revenue Grew 18% In Robust Quarter for Tech", October 19, 2005	Γ				
	GV	The Wall Street Journal Article, "Intel Says Chip Demand May Slow", October 19, 2005	Γ				
<u>द</u> '( ,	GW	The New York Times Article, "Intel Settlement Revives A Fading Chip Designer", October 20, 2005	T				

Examiner Signature Qui Cl Dated 3/3/06

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		(PT	O-1449)		FILING DATE November 14, 2	003	GROUP <b>2183</b>					
		_	U	.S. PATENT	DOCUMENTS							
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£(·	С	MARKOFF, JOHN, "Intel Settlement Revives a Fading Chip Designer," The New York Times (10-20-2005)										
€(-	D	Intel Press Release, "Intel Announces Record Revenue of \$9.96 Billion," Santa Clara, CA, 10-18-2005										
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